

# Simulating the Dirac field with cold atoms in a honeycomb lattice

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**Abstract.** In this work we present an optical lattice setup to realize a full Dirac Hamiltonian in 2+1 dimensions. We show how all possible external potentials coupled to the Dirac field can arise from perturbations of the existing couplings of the honeycomb lattice model, without the need of additional laser fields. This greatly simplifies the proposed implementations, requiring only spatial modulations of the intensity of the laser beams. We finally suggest several experiments to observe the properties of the Dirac field in the setup.

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## 1. Introduction

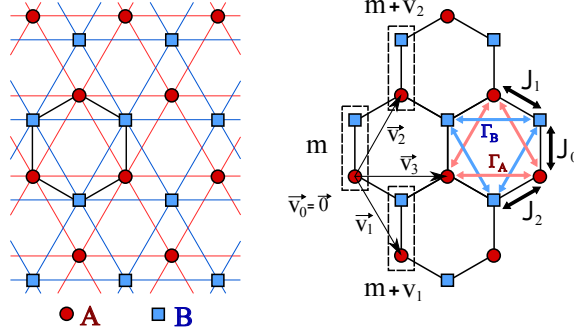
Lattice models have long been a powerful tool to study quantum mechanics and quantum field theories. They allow for computational treatment of analytically intractable problems, from high-Tc superconductors [1] to colour confinement in QCD [2]. However, the computational cost of dealing with these problems can be exorbitant [3]. In the spirit of Feynman [4], great effort has been devoted to simulating these models in intrinsically quantum systems, which can be more efficient in reproducing key characteristics of these problems. Indeed quantum simulators have managed to produce results in the study of relativistic quantum mechanics and quantum phase transitions [5, 6].

Optical lattices are one of the most promising candidates for quantum simulations, due to their scalability, tunability and their versatility in terms of geometry and dimensionality. They have already been successful in simulating quantum phase transitions of Bose-Hubbard and spin Hamiltonians [7, 8, 9], and several proposals have been made to observe synthetic gauge field theories and topological order [10, 11, 12, 13, 14]. In this work we propose a way to study in an optical lattice the 2+1 Dirac fields coupled to both Abelian and non-Abelian gauge fields. Our scheme relies on intensity modulations of the trap and of additional laser beams to give birth to all sorts of phenomena: from the appearance of synthetic electromagnetic fields and a Dirac mass to exotic flavour-coupling perturbations. Our idea is intimately connected to the way mechanical deformations induce effective magnetic fields in graphene [15, 16, 17], but makes use of the greater tunability of optical lattices to create a greater family of potentials.

This work is structured as follows. In section 2 we show how the Dirac equation arises from a tight-binding treatment of the honeycomb lattice. We pay special attention to the tools for deriving continuum limit and to the appearance of an extra degree of freedom, called flavor. In section 3 we analyse the effect of perturbations on the lattice, interpreting the result as a coupling between the Dirac field and scalar, Abelian and non-Abelian external gauge fields. In section 4 we detail the experimental implementation of the Dirac model and of the external potentials using ultracold atoms in an optical lattice. After discussing a trapping scheme based on state-dependent optical lattices, section 4.3 shows how to implement the effective potentials from section 3 using intensity modulations of the laser beams. Finally, section 5 discusses different experimental protocols to characterize and measure the previous quantum simulations.

## 2. From tight-binding models to the Dirac fields

Here we develop a theoretical framework in which 2D fields arise as the continuum approximation to a quadratic tight-binding model on a honeycomb lattice. Later in section 4 we show how these Hamiltonians describe non-interacting atoms in a strong periodic confining potential, but in this section the focus is on the abstract model. More precisely, we are interested in the derivation of honeycomb lattice band structure, whose excitations behave as relativistic Dirac particles, and how this procedure is modified to obtain a coupling between the Dirac particles and emergent gauge fields.



**Figure 1.** Two triangular sub-lattices displaced one with respect to the other to generate a honeycomb lattice. The vectors  $\vec{v}_1$  and  $\vec{v}_2$  are the hexagonal lattice generator vectors,  $\vec{v}_0 = \vec{0}$  and  $\vec{v}_3 = \vec{v}_1 + \vec{v}_2$ . The unit cells are shown with dashed rectangles, and are labelled by  $m$ .  $\Gamma_A$  and  $\Gamma_B$  are the hopping parameters related with jumps between positions inside sub-lattices  $A$  and  $B$  while  $J_i$  are the hopping parameters related with hexagonal jumps between sublattices, each one related with the corresponding  $\vec{v}_i$ ,  $i = 0, 1, 2$ .

### 2.1. Tight-binding model for two coupled sub-lattices

Consider a system of fermionic particles in a strong confining periodic potential. We model these particles with fermionic creation and annihilation operators which describe the presence or absence of a fermion in a particular lattice site. The lattice is bipartite, which means that it can be divided into two disjoint sets of sites  $A$  and  $B$ , where the nearest neighbours of any  $A$ -site are all elements of  $B$ , and viceversa [cf. figure 1a)]. Thanks to the implementation we may consider nearest-neighbour hoppings between different sublattices of a bipartite lattice, and also consider next-to-nearest-neighbour (or intra-lattice) hoppings. For the hexagonal spatial geometry shown in figure 1, our model Hamiltonian reads:

$$H = \sum_m \left( J_0 a_m^\dagger b_m + J_1 a_m^\dagger b_{m+v_1} + J_2 a_{m+v_2}^\dagger b_m + \text{H.c.} \right) \quad (1)$$

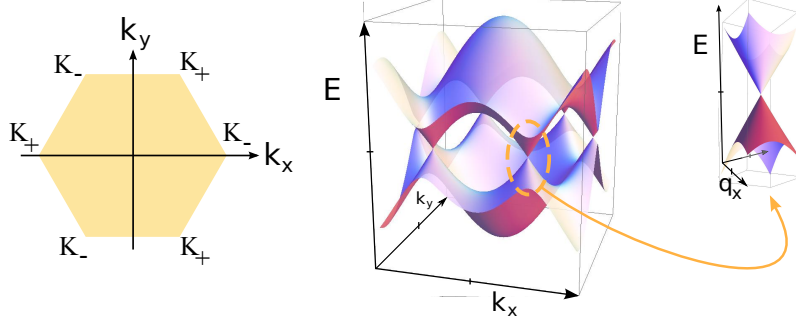
$$+ \sum_{v=v_1}^{v_3} \left( \Gamma_A a_m^\dagger a_{m+v} + \Gamma_B b_m^\dagger b_{m+v} + \text{H.c.} \right),$$

where the  $J_i$  are the hopping parameters related with the first neighbour hoppings;  $\Gamma_A$  and  $\Gamma_B$  model the tunneling effect inside sublattices  $A$  and  $B$  respectively;  $a_m^\dagger$  and  $a_m$  ( $b_m^\dagger$  and  $b_m$ ) are the creation and annihilation operators for an atom in the position  $m$  of sublattice  $A$  ( $B$ ), and the  $v$ 's refer to the  $\vec{v}$  vectors represented in figure 1b. The first line of equation (1) represents the hexagonal hoppings, and the second line represents the two kinds of triangular hoppings.

### 2.2. Energy bands and Dirac field

The honeycomb Hamiltonian is recovered from equation (1) by making  $J_0 = J_1 = J_2 \equiv J$  and  $\Gamma_A = \Gamma_B = 0$ :

$$H_{hon} = J \sum_m \left\{ a_m^\dagger b_m + a_m^\dagger b_{m+v_1} + a_{m+v_2}^\dagger b_m + \text{H.c.} \right\}. \quad (2)$$



**Figure 2.** *Left:* First Brillouin Zone (1BZ) for an hexagonal lattice. The  $K_{\pm}$  points written in black are the non-equivalent Dirac Points. *Center:* three-dimensional representation of the energy bands of the honeycomb lattice. The two surfaces represent the positive and the negative energy solutions equation (4) meeting together in the Dirac points where  $E = 0$ . *Right:* zoom around the Dirac points where the energy bands take conical shape and the Hamiltonian of the system can be approximated as a Dirac Hamiltonian, being  $E \propto |\vec{q}|$ , equation (6).

To calculate the energy bands, we do a Fourier Transform (FT) over the creation and annihilation operators as

$$a_m^\dagger = \int_{\Omega} \frac{d^2k}{2\pi} e^{-i\vec{k} \cdot \vec{r}_m} a_{\vec{k}}^\dagger, \quad (3)$$

where  $\vec{k}$  is the momentum,  $\vec{r}_m$  is the position of the site  $m$ , the integration limit  $\Omega$  makes reference to the first Brillouin Zone (1BZ) and a similar expression is used for  $b$ . The diagonalization of the Hamiltonian in momentum space leads to the eigenvalues of the energy that form the energy band structure; namely

$$E_{\pm}(\vec{k}) = \pm \sqrt{3 + 4 \cos\left(\frac{1}{2}k_x\right) \cos\left(\frac{\sqrt{3}}{2}k_y\right) + 2 \cos(k_x)}, \quad (4)$$

which are represented in figure 2. There are six points in the 1BZ for which  $E = 0$ , but only two of them are non-equivalent and we name them  $K_{\pm} = (\mp \frac{4\pi}{3}, 0)/d$ , where  $d$  is the unit cell size [18]. Assuming only small energy perturbations around  $E = 0$ , the excitations will be confined to the so called Dirac cones. To find the effective theory for these low-energy and long-wavelength excitations one makes an expansion around the Dirac points of  $E(\vec{k})$ , introducing  $\vec{q} = \vec{k} - \vec{K}$  and assuming that for the relevant states it remains “small” (i.e.  $|\vec{q}| \times d \ll 1$ ). Under these conditions, it is possible to work with the Dirac cones as if they extended over all values of  $\vec{q}$ , with integrals in  $d^2k$  over the 1BZ being replaced by an integral in  $d^2q$  over the whole momentum space. By transforming the operators back to position space via inverse FT

$$\phi_a^\dagger(\vec{r}) = \int \frac{1}{2\pi} a_{\vec{K}+\vec{q}}^\dagger e^{-i(\vec{K}+\vec{q}) \cdot \vec{r}} d^2q, \quad (5)$$

one gets an effective theory for the continuum fields  $\{\phi_a(\vec{r}), \phi_b(\vec{r})\}$ , satisfying the Dirac-like Hamiltonian (cf. equation 14)

$$h_+(\vec{q}) \approx \vec{\sigma} \cdot \vec{q}, \quad h_-(\vec{q}) \approx -h_+(\vec{q})^*, \quad (6)$$

where  $\vec{\sigma} = (\sigma_x, \sigma_y)$  are the usual Pauli matrices and the subscript  $\pm$  stands for the choice of cone ( $K_{\pm}$ ).

### 2.3. Derivation of the Dirac Hamiltonian in position space

While the previous discussion reveals the main ingredients of the relativistic fields which arise from our discrete Hamiltonian, two concerns appear. One is the added difficulty of treating spatially dependent perturbations, caused by the two consecutive Fourier transforms. The other concern is the little attention paid to the fact that there are two intrinsically different cones, which in line with previous literature we call the two “flavors” of Dirac particles in the lattice. As we will show later, this flavor plays a role in the simulation of non-Abelian fields.

In order to extend the derivation of the Dirac dispersion relation to setups in which translational invariance is weakly broken, we rely on a continuum-field approach that bypasses the use of momentum space [19]. Starting with our tight-binding Hamiltonian (1) with suppressed intralattice hoppings ( $\Gamma_A = \Gamma_B = 0$ ), we approximate the Fock operators at each lattice site as the value of a continuous field defined over all space, but which varies so smoothly that it is approximately constant over each unit cell. Moreover, since we are interested in excitations around the  $K_{\pm}$  quasimomenta, these fields are the envelope of quasi-plane wavepackets around those points

$$\hat{a}_m \rightarrow \sqrt{d^2r} \left( \hat{\Psi}_{a+}(\vec{r}_m) e^{-i\vec{K}_+ \cdot \vec{r}_m} + \hat{\Psi}_{a-}(\vec{r}_m) e^{-i\vec{K}_- \cdot \vec{r}_m} \right), \quad (7)$$

with an equivalent expression for  $\hat{b}_m$ . Here  $d^2r$  is the unit cell area and the exponential containing the Dirac points  $\vec{K}_{\pm}$  implements the desired wavepacket ansatz. Substituting these operators in the tight-binding model produces the following limit Hamiltonian

$$\hat{H} \propto \sum_{\mu, \nu, i} \int e^{i\vec{r}(\vec{K}_{\mu} - \vec{K}_{\nu})} \left[ \hat{\Psi}_{a\mu}^{\dagger}(\vec{r}) \hat{\Psi}_{b\nu}(\vec{r} + \vec{v}_i) e^{-i\vec{K}_{\mu} \cdot \vec{v}_i} \right] d^2r, \quad (8)$$

where the Greek indices stand for the two possible flavour choices ( $\pm$  cones) and the  $\vec{v}_i$  stand for the vectors  $\vec{v}_0, \vec{v}_1, \vec{v}_2$  depicted in figure 1. Since we have enforced the fields to be slowly varying, the presence of the rapidly oscillating exponential  $e^{i\vec{r}(\vec{K}_{\mu} - \vec{K}_{\nu})}$  imposes the condition  $\mu = \nu$  and we end up with two flavour-decoupled integrals. At this point one may expand  $\hat{\Psi}(\vec{r} + \vec{v}) \simeq [1 + \vec{v} \cdot \vec{\nabla}] \hat{\Psi}(\vec{r})$  so the Hamiltonian reads:

$$\hat{H} \propto \sum_{\mu, i} \int \hat{\Psi}_{a\mu}^{\dagger}(\vec{r}) \left[ 1 + \vec{v}_i \cdot \vec{\nabla} \right] \hat{\Psi}_{b\mu}(\vec{r}) e^{-i\vec{K}_{\mu} \cdot \vec{v}_i} d^2r. \quad (9)$$

Our resulting Hamiltonian is therefore diagonal both in position and in flavour space but couples the internal degrees of freedom a-b via an off-diagonal operator with only one nontrivial term:

$$C_{\mu} = 1 + e^{-i\vec{K}_{\mu} \cdot \vec{v}_2} [1 + \vec{v}_2 \cdot \vec{\nabla}] + e^{i\vec{K}_{\mu} \cdot \vec{v}_1} [1 - \vec{v}_1 \cdot \vec{\nabla}] \quad (10)$$

Since  $1 + e^{-i\vec{K}_{\mu} \cdot \vec{v}_2} + e^{i\vec{K}_{\mu} \cdot \vec{v}_1}$  vanishes due to the definition of the Dirac cones, the coupling term simplifies to

$$C_{\pm} = e^{-i\vec{K}_{\pm} \cdot \vec{v}_2} \vec{v}_2 \cdot \vec{\nabla} - e^{i\vec{K}_{\pm} \cdot \vec{v}_1} \vec{v}_1 \cdot \vec{\nabla} = \frac{\sqrt{3}d}{2} [\partial_y - \pm i\partial_x]. \quad (11)$$

Introducing a momentum operator  $\vec{q} = -i\vec{\nabla}$ , our coupling term becomes  $C_{\mu} \sim \mu q_x + i q_y$  and the Hamiltonian spatial density is therefore:

$$\begin{aligned} h_+(\vec{r}) &= c \begin{pmatrix} 0 & C_+ \\ C_+^* & 0 \end{pmatrix} = c \begin{pmatrix} 0 & q_x + i q_y \\ q_x - i q_y & 0 \end{pmatrix} = c \vec{q} \cdot \vec{\sigma} \\ h_-(\vec{r}) &= -h_+^*(\vec{r}) \end{aligned} \quad (12)$$

which is the expression of the massless Dirac Hamiltonian with Fermi velocity  $c = \sqrt{3}Jd/2$ . Note that changing flavor is equivalent to changing the sign of  $q_x$  in the Hamiltonian.

### 3. Extending the Dirac Hamiltonian by modifying the lattice parameters

We now generalize the free Dirac Hamiltonian to include a variety of external fields [20]

$$H_D = c\vec{\alpha} \cdot \vec{p} + \beta mc^2 + \beta V_{cov}. \quad (13)$$

Here  $m$  is the mass of the particles and  $V_{cov}$  is the most general covariant potential containing scalar, vector, matrix, pseudoscalar, pseudovector and pseudotensor fields. The Dirac matrices  $\vec{\alpha} = \gamma^0 \vec{\gamma}$  and  $\beta = \gamma^0$ , are defined in terms of the generators of the Clifford group,  $\gamma^\mu$ . In 2+1 dimensions this is a set of  $2 \times 2$  matrices satisfying the anticommutation relation  $\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu}$  with  $\eta^{\mu\nu} = \text{diag}(1, -1, -1)$ , where  $\mu, \nu = 0, 1, 2$ . An adequate choice is  $\vec{\alpha} = \vec{\sigma} = (\sigma_x, \sigma_y)$  and  $\beta = \sigma_z$ , which corresponds precisely to the Hamiltonian in equation (6). The Dirac Hamiltonian has the form

$$H_D = c\vec{\sigma} \cdot (\vec{p} - e\vec{A}(\vec{r})) + eA^0(\vec{r})\mathbb{I} + (mc^2 + V(\vec{r}))\sigma_z, \quad (14)$$

where  $A^0(\vec{r})$  and  $\vec{A}(\vec{r})$  are the usual scalar and vector potential that give rise to observable electric and magnetic fields, and  $V(\vec{r})$  is a scalar potential that mimics the effect of an imposed mass;  $e$  is an effective charge. Let us now show how to recover all terms in equation (14) by slightly perturbing the tight-binding model.

#### 3.1. Generating a mass term

The simplest term that we can add to Hamiltonian equation (2) is an energy difference between atoms in sublattices  $A$  and  $B$ :

$$\delta H = \sum_m \left( \frac{\varepsilon}{2} a_m^\dagger a_m - \frac{\varepsilon}{2} b_m^\dagger b_m \right), \quad (15)$$

which in the continuum limit becomes

$$\delta H = \sum_\mu \int \left( \frac{\varepsilon}{2} \hat{\Psi}_{a\mu}^\dagger(\vec{r}) \hat{\Psi}_{a\mu}(\vec{r}) - \frac{\varepsilon}{2} \hat{\Psi}_{b\mu}^\dagger(\vec{r}) \hat{\Psi}_{b\mu}(\vec{r}) \right) d^2r \quad (16)$$

or equivalently

$$\delta h(\vec{r}) \sim \sigma_z \frac{\varepsilon}{2}. \quad (17)$$

Comparing this with equation (14), we see that the position-independent term proportional to  $\sigma_z$  can be identified with an effective mass.

#### 3.2. Abelian potentials

We can extend our model by starting from equation (2) and smoothly modifying the hoppings as  $J_i = J + \epsilon_{i,m}$  where  $|\epsilon| \ll |J|$ :

$$\delta H = \sum_m \epsilon_{i,m} a_m^\dagger b_{m+v_i} + \text{H.c.} \quad (18)$$

In the continuum limit this renders

$$\delta H = \sum_{\mu,i} \int e^{i\vec{K}\vec{v}_i} \epsilon_i(\vec{r}) \hat{\Psi}_{a\mu}^\dagger(\vec{r}) \hat{\Psi}_{b\mu}(\vec{r} + \vec{v}_i) d^2r. \quad (19)$$

In the notation of the previous section, this is equivalent to a change in the “coupling term” between pseudospins:

$$\delta C_\mu = \frac{\sqrt{3}}{2} \left( \mu \frac{\epsilon_0}{J} - \mu \frac{\epsilon_1 + \epsilon_2}{2J} + i \frac{(\epsilon_2 - \epsilon_1)}{J} \right) \quad (20)$$

therefore allowing us to formally derive an Abelian external potential

$$H = c\vec{\sigma} \cdot (\vec{q} - \vec{A}(\vec{r})) \quad (21)$$

where  $\vec{A} = (\mu \text{Re}[\delta C_\mu], \text{Im}[\delta C_\mu])$ .

### 3.3. Scalar fields

Consider now perturbations which are diagonal in the internal space to the tight-binding Hamiltonian, such as those given by intralattice hoppings in equation (1):

$$\delta H = \sum_{m,i} \Gamma_A a_m^\dagger a_{m+v_i} + \Gamma_B b_m^\dagger b_{m+v_i} + h.c. \quad (22)$$

Following the continuum limit performed in (3.1) the Hamiltonian in momentum space around the  $K$  point can then be written as

$$H' = c\vec{\sigma} \cdot \vec{q} - \begin{pmatrix} \sum_i e^{-i\vec{K}\vec{V}_i} \Gamma_A(\vec{r}) & 0 \\ 0 & \sum_i e^{-i\vec{K}\vec{V}_i} \Gamma_B(\vec{r}) \end{pmatrix}, \quad (23)$$

or purposefully rewritten (reabsorbing the constant  $\sum_i e^{-i\vec{K}\vec{V}_i}$  factor) as

$$H' = c\vec{\sigma} \cdot \vec{q} - \frac{(\Gamma_A(\vec{r}) + \Gamma_B(\vec{r}))}{2} \mathbb{I} - \frac{(\Gamma_A(\vec{r}) - \Gamma_B(\vec{r}))}{2} \sigma_z \quad (24)$$

which has both a term proportional to  $\mathbb{I}$  representing an electric potential,  $\phi(\vec{r}) = \frac{1}{2}[\Gamma_A(\vec{r}) + \Gamma_B(\vec{r})]$ , and a term proportional to  $\sigma_z$  which contributes to the effective mass.

### 3.4. Flavor-coupling perturbations

We have seen that spatial variations of the hopping elements emerge as an Abelian external field. These variations have to be *small*, but it should be stated that, in order to keep flavors decoupled, they also have to be *slowly varying*. Otherwise, if there is a modulation with wavevector comparable to the order  $(\vec{K}_+ - \vec{K}_-)$ , the flavor-coupling terms  $\mu \neq \nu$  in equation (8) do not vanish. What we suggest now is to introduce small perturbations whose wavelength is comparable to the lattice constant and thus bridge the difference in momentum between cones. For instance

$$\delta \hat{H} = \sum_{m,i} 2\chi_{x,i,m} \cos(\vec{r}(\vec{K}_+ - \vec{K}_-)) a_m^\dagger b_{m+v_i} \quad (25)$$

with a constant coupling strength  $\chi_{x,i,m} = \chi_x$ . These rapid oscillations only allow the survival of terms that couple different cones, cancelling all terms inside the same cone. The most general perturbation of this sort is

$$\delta \hat{h}(\vec{r}) = \chi_x(\vec{r}) \left( \hat{\Psi}_{a+}^\dagger \hat{\Psi}_{b-} + \hat{\Psi}_{a-}^\dagger \hat{\Psi}_{b+} + \text{H.c.} \right), \quad (26)$$

where  $\sum_i \chi_{x,i} e^{-i\vec{K}\vec{v}_i} = \chi_x$  is the spatial dependence of the slow envelope that surrounds our perturbation (25). Actually, this envelope can be “remodulated” in order to make flavor coupling also weakly spatial-dependent. Moreover, we can also introduce in equation (25) sine terms,  $\epsilon(\vec{r}) = \chi_y \sin(\vec{r}(\vec{K}_+ - \vec{K}_-))$ , which make the flavor-coupling term complex.

### 3.5. The complete Hamiltonian

Since there are no pseudo-potentials ( $\gamma^5 = 1$  in 2+1 dimensions), our idea of perturbing the tight-binding model parameters allows us to reconstruct all possible external potentials of the Dirac equation, plus an additional coupling between different types of particles. With all these elements we have the following effective single-particle Hamiltonian:

$$h(\vec{r}) = \begin{pmatrix} c\vec{\sigma}(\vec{q} - \vec{A}(\vec{r})) + m\sigma_z c^2 + \phi(\vec{r}) & \chi_x\sigma_x + \chi_y\sigma_y \\ \chi_x\sigma_x + \chi_y\sigma_y^* & -c(\vec{\sigma}(\vec{q} - \vec{A}(\vec{r})))^* + mc^2\sigma_z + \phi(\vec{r}) \end{pmatrix}. \quad (27)$$

## 4. Trapping of atoms in an optical honeycomb lattice

In this section we introduce optical lattices and explain how to construct a honeycomb lattice with two state-dependent triangular optical lattices. We discuss how to implement a tight-binding Hamiltonian and propose an experimental setup to obtain the Dirac field and the external fields using solely Raman and detuned lasers.

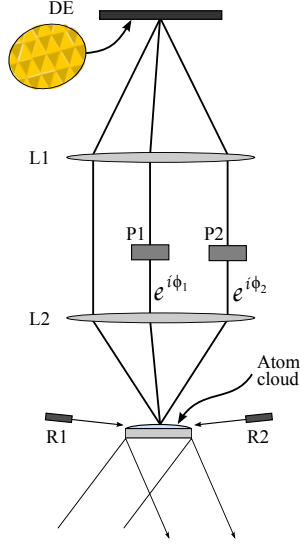
### 4.1. From two triangular sub-lattices to a honeycomb lattice

Recent advances in the development of high-aperture objectives and their integration in optical traps open the door to the generation of almost arbitrary two-dimensional potential landscapes for ultracold atoms. The basic idea is that off-resonant light may be used to tightly confine atoms in the maxima or minima of intensity, recreating sophisticated lattice models [21]. While until now those minima and maxima were generated through the interference of multiple laser beams [7, 21], a novel paradigm consists on shaping and organizing those intensity profiles by simply projecting sophisticated images on the two-dimensional focal plane of a lens. The first experiments along this line have reproduced the usual square lattice quantum simulations [22] and also demonstrated the first triangular lattices [23].

In this work we are particularly interested in the last of those setups, which combines two triangular lattices [23] in the same plane. In this experiment the trapping laser beams are first diffracted by a holographic mask with a triangular pattern, selecting the first diffraction orders, which are then collected by a powerful lens to create the imprinted intensity pattern at its focal plane. The relative phases of the three diffracted beams may be independently controlled in a way that allows the displacement of the resulting triangular lattice (cf. figure 3). When this procedure is applied to two laser beams that differ in frequency or polarization, it becomes possible to produce two triangular lattices,  $A$  and  $B$ , which coexist on the same plane and have a tunable relative separation. The result is the original setup introduced in figure 1 in an abstract way, where now  $A$  and  $B$  are physically implemented by an optical potential.

In order to jump from two independent triangular lattices to the setup introduced in figure 1, we need means to introduce the couplings between different lattice sites, that is hoppings from one lattice to another, or within the same lattice. The most direct way to implement this in an optical system is to use the two lattices  $A$  and  $B$  to trap atoms of the same species but in different internal states,  $|a\rangle$  and  $|b\rangle$  respectively. We also need a way to rotate between  $|a\rangle$  and  $|b\rangle$ , which can be implemented via Raman transitions. In a situation with all these ingredients two kinds of hoppings are allowed for the atoms: on the one hand an atom in sub-lattice  $A$  ( $B$ ) can tunnel





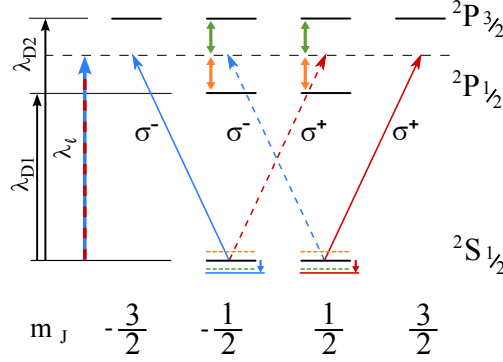
**Figure 3.** Proposal of experimental setup. DE is the diffraction element, L1 and L2 are the required lenses to make the beams parallel and to focalize them on the lattice plane, R1 and R2 are the lasers used for the Raman transitions,  $P_1$  and  $P_2$  are phase plates used to change the relative phases,  $e^{i\phi_{1,2}}$ , of the  $\sigma^+$  and  $\sigma^-$  beams.

between positions in its own sub-lattice, as contemplated in our model by the hopping parameter  $\Gamma_A$  ( $\Gamma_B$ ). This parameter can be controlled by increasing or decreasing the intensity  $I_A$  ( $I_B$ ) of the laser beam generating each sublattice. On the other hand a Raman-induced change in the internal state of an atom from  $|a\rangle$  to  $|b\rangle$  (from  $|b\rangle$  to  $|a\rangle$ ) will make the atom shift from sublattice  $A$  to sublattice  $B$  ( $B$  to  $A$ ). These nearest-neighbour jumps can be different in each of the three possible directions, and are modelled by the hopping parameters  $J_0$ ,  $J_1$  and  $J_2$  which are associated with the vectors  $\vec{v}_0$ ,  $\vec{v}_1$  and  $\vec{v}_2$  shown in figure 1.

#### 4.2. Realization of two state-dependent triangular sub-lattices

How do we implement in practice the ideas from the previous subsection, and in particular the coupling between lattices? The engineering and control of state-dependent lattices is a mature technology [24, 21], which nevertheless requires some careful control of the atomic states and decoherence. We will briefly describe how this works for fermionic alkaline atoms and how this integrates with the projected lattices scheme.

As sketched in figure 4, it is possible to find a wavelength  $\bar{\lambda}_l$  falling between the  $D1$  and  $D2$  lines for which polarised light only traps atoms in one of the ground states manifolds. More precisely, the fine-structure energy levels of alkaline atoms are denoted by  $|L, J, m_J\rangle$ , where  $L$  is the electron angular momentum quantum number,  $\vec{J}$  the combined orbital and spin momentum and  $m_J$  is its projection along the quantization axis. When we illuminate with  $\sigma^+$  polarised light at a frequency  $\omega = \frac{1}{2}(\omega_{D1} + \omega_{D2})$ , the ac-Stark shift that it induces on the  $|0, \frac{1}{2}, -\frac{1}{2}\rangle$  cancels due to the positive and negative contribution of off-resonant  $D1$  and  $D2$  transitions.



**Figure 4.** Fine-structure energy levels of an alkaline atom. *Not to scale.*  $\lambda_{D1}$  and  $\lambda_{D2}$  are the wavelengths of the D1 and D2 lines respectively.  $\lambda_l$  is the wavelength of the optical lattice lasers. Dashed lines mark the cancelled ac-Stark effects: a  $\sigma^+$  ( $\sigma^-$ ) polarised photon incident on a  $|L=0, J=\frac{1}{2}, m_J=-\frac{1}{2}\rangle$  ( $|0, \frac{1}{2}, +\frac{1}{2}\rangle$ ) state would see both the  $|1, \frac{1}{2}, \frac{1}{2}\rangle$  and the  $|1, \frac{3}{2}, \frac{3}{2}\rangle$  ( $|1, \frac{1}{2}, -\frac{1}{2}\rangle$  and  $|1, \frac{3}{2}, -\frac{3}{2}\rangle$ ) states in such a way that the ground level energy displacements cancel with each other. The net effect is then only due to  $\sigma^-$  ( $\sigma^+$ ), marked with continuous lines.

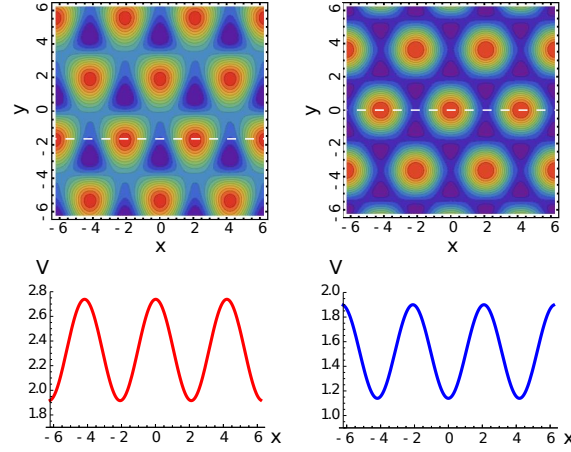
The result is that circularly polarized  $\sigma^+$  ( $\sigma^-$ ) light can only trap atoms in the  $|0, \frac{1}{2}, +\frac{1}{2}\rangle$  ( $|0, \frac{1}{2}, -\frac{1}{2}\rangle$ ) states.

In practice, however, the situation is more subtle because atoms also have some hyperfine structure, induced by the coupling between the electronic and nuclear angular momenta. Let us focus on the fermionic species  ${}^6\text{Li}$ , in line with previous proposals [23]. Out of the hyperfine ground-states  $|F, m_F\rangle = c_1 |J=\frac{1}{2}, m_J=\frac{1}{2}\rangle + c_2 |J=\frac{1}{2}, -\frac{1}{2}\rangle$  where  $\vec{F} = \vec{I} + \vec{J}$  being  $\vec{I}$  the nuclear angular momentum of  ${}^6\text{Li}$  ( $I=1$ ), we select  $|a\rangle = |\frac{1}{2}, -\frac{1}{2}\rangle = -\sqrt{\frac{2}{3}} |\frac{1}{2}, \frac{1}{2}\rangle + \frac{1}{\sqrt{3}} |\frac{1}{2}, -\frac{1}{2}\rangle$  and  $|b\rangle = |\frac{3}{2}, -\frac{3}{2}\rangle = 1 |\frac{1}{2}, -\frac{1}{2}\rangle$ . If  $V_+$  and a  $V_-$  are the intensity distributions that result by illuminating with light in the  $\sigma^+$  and  $\sigma^-$  polarisations, the states  $|a\rangle$  and  $|b\rangle$  will feel the ac-Stark potentials  $V_A = \frac{2}{3}V_+ + \frac{1}{3}V_-$  and  $V_B = V_-$ . As shown in figure 5, the combined potentials can look like two displaced triangular lattices by choosing the adequate relative phases between the diffracted beams. Furthermore, the relative depths can be controlled by changing the ratio  $V_+/V_-$ , but this may require a new tuning of the relative phases.

The last thing is to induce state rotations between  $|a\rangle$  and  $|b\rangle$ , which can be done via Raman transitions. So summarizing we have two triangular state-dependent sub-lattices  $A$  and  $B$  with intralattice hopping parameters  $\Gamma_A$  and  $\Gamma_B$ . These two sub-lattices, combined with internal state rotations, give rise to a hexagonal lattice with interlattice hopping parameters  $J_i$ . A scheme of a possible setup with all these elements is shown in figure 3.

#### 4.3. Perturbing the hopping parameters

The previous discussion shows a feasible setup to obtain a honeycomb tight-binding Hamiltonian with neutral atoms in an optical lattice. In this section we take the scheme one step further so that the hopping parameters can be locally changed to incorporate mass terms and pseudofields to the Dirac Hamiltonian. We propose to optically control the Dirac field physics much in the way mechanical strains have been



**Figure 5.** *Left:* sub-lattice generated by  $V_A = \frac{2}{3}V_+ + \frac{1}{3}V_-$ . *Right:* sub-lattice generated by  $V_B = V_-$ . *Top:* trapping potentials as functions of  $x$  and  $y$ , in arbitrary units. The red zones represent the potential minima where the atoms are trapped. It is apparent that both sub-lattices are triangular and displaced one with respect to the other. By superimposing both images one obtains the structure of an hexagonal lattice. *Bottom:* transversal cut corresponding to the white dashed line along the  $x$  direction. The difference in potential depth can be controlled by changing the ratio  $V_+/V_-$ , but this will affect the relative positions of the potential minima.

suggested in graphene sheets [15, 16, 17]. Two proposals to implement variations are presented, the first of which is best in line with the setup shown in the previous section, the other being a more formal approach to general hopping calculations across potential wells.

**4.3.1. Change in Raman intensity** Interlattice hoppings are assisted by an external laser beam inducing Raman transitions between the internal states of the atoms, thus creating a transition amplitude which scales with the intensity of the beam. By changing the spatial dependence of this amplitude one can overprint a first-neighbours hopping perturbation  $\epsilon_i(\vec{r})$ . This method is the easiest to implement in this setup, but is very limited and unable to act on intralattice (next-to-nearest-neighbour) transitions. Therefore it is the chosen method to add Abelian vector potentials and non-Abelian coupling terms.

**4.3.2. Lattice distortions** A more general analysis can be made by considering spatial distortions of the lattice, that is, either through a relative displacement of one site with respect to its neighbor or by adjusting width of the individual potential wells. The displacement may be achieved by controlling the relative phases of the confining beams through the phase modulators *P1* and *P2* in figure 3. The width of the potential wells can be modified by a change in the height of the confining potential, using a mask which modulates the intensity of the laser beams. We now develop a simple model for calculating the influence of these two modifications in the hopping parameters.

We assume that the ideal (unperturbed) hopping parameters between wells behave as if lattice sites were spatially separated harmonic oscillators in an original

reference frame  $\vec{r}'$ . The lattice distortion described locally by the transformation  $\vec{r}' = A\vec{r}$  renders the following single-well Hamiltonian:

$$\hat{H} = \frac{-\hbar^2}{2m}\Delta + \frac{m\tilde{\omega}^2}{2}\vec{r}^T A^T A \vec{r} \quad (28)$$

where the frequency of the site trap is also changed  $\omega \rightarrow \tilde{\omega}(\vec{r})$ , due to modulations of the intensity of the confining beam (the kinetic energy terms are not transformed since they are expressed in “real” space, while the potential term is meant to look like a perturbed harmonic oscillator). The localized Wannier function in the well is

$$\psi_{GS}(x) = \frac{1}{\sqrt{N}} \exp\left(-\frac{1}{2\sigma^2}\vec{r}^T B \vec{r}\right) \quad (29)$$

where  $B = \sqrt{A^T A}$ ,  $\sigma^2 = \frac{\hbar}{m\tilde{\omega}}$  and  $N = \frac{\pi\sigma^2}{\sqrt{|B|}}$ . Notice that  $B$  is positive-definite by construction and  $\sigma$  is  $\vec{r}$ -dependent. If we assume no vibrational levels can be excited, the hopping parameter between first-neighbour wells separated by a vector  $\vec{a}$  is simply proportional to the overlap between wavefunctions

$$J \sim \int d^2\vec{r} \psi_{GS}^*(\vec{r}) \psi_{GS}(\vec{r} - \vec{a}) = \exp\left(-\frac{\vec{a}^T B \vec{a}}{4\sigma^2}\right). \quad (30)$$

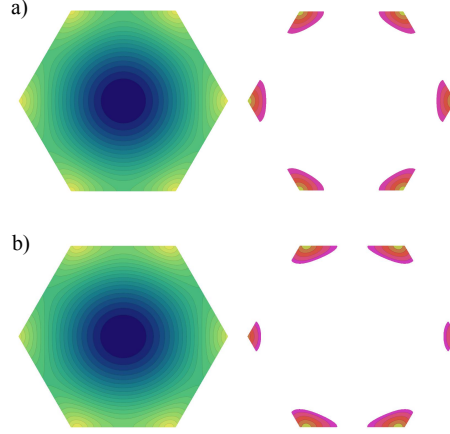
This will be valid as long as variations are smooth and small, corresponding to the wavefunctions being good approximations to the ground state of the potential well and the restriction to the lowest vibrational level. Therefore the dislocation must be small:  $A \simeq \mathbb{I} + \epsilon C \rightarrow G = A^T A \simeq \mathbb{I} + \epsilon(C + C^T)$ , defining  $C$  as our generator. Let us call the unperturbed vector connecting both wells  $\vec{v}_0$ , so that the actual vector becomes  $\vec{a} = A^{-1}\vec{v}_0 = (\mathbb{I} - \epsilon C)\vec{v}_0$ . The bilinear matrix expands as  $B = \sqrt{G} \simeq \sqrt{\mathbb{I} + \epsilon(C + C^T)} \simeq \mathbb{I} + \frac{1}{2}\epsilon(C + C^T)$ . Substituting these values yields:

$$\begin{aligned} J &\sim \exp\left(-\frac{\vec{a}^T B \vec{a}}{4\sigma^2}\right) \Rightarrow J = J_0 \exp(-\epsilon\delta), \\ \delta &= -\frac{m\tilde{\omega}(\vec{r})}{8\hbar} \vec{v}_0^T (C + C^T) \vec{v}_0. \end{aligned} \quad (31)$$

This result can be readily interpreted. There are two contributions: one ( $C + C^T$ ) which modifies the hopping due to the change in distance between wells, and another ( $\tilde{\omega}(\vec{r})$ ) which refers to the intensity profile of the confining laser beam. We can therefore tune these parameters, e.g. displacing or rotating one sublattice on top of the other, to allow for variations of the tight-binding hoppings. As explained before, all these changes are used to simulate Abelian and non-Abelian external gauge fields.

## 5. Experimental detection

The most direct consequence of the appearance of Abelian and non-Abelian external fields is the distortion of the energy bands, ranging from the movement of the Dirac cones in momentum space to the appearance of a gap in the spectrum. Two methods are proposed here to observe these changes: the first one is the measurement of the momenta after removing the confining potential so as to explore the form of the energy bands; the second one focuses on measuring how these fields affect the dynamics of a single particle (or small group of particles) moving in the lattice.



**Figure 6.** Expected momenta distribution for  $B$  (left) and  $A$  (right) atoms associated with the  $E_-$  and  $E_+$  energy bands respectively (see text). The two *top* images correspond to the unperturbed honeycomb tight-binding Hamiltonian, and the *bottom* ones correspond to the *Abelian potential* case described in section 3 due to a perturbation in  $J_0$ .

### 5.1. Time of flight images

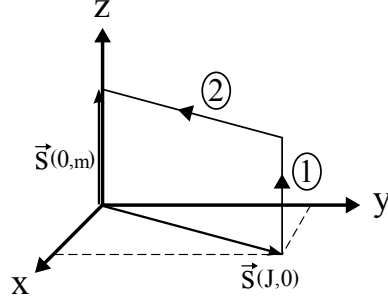
It is possible to probe the atomic population within the first Brillouin zone (BZ) of an optical lattice. The procedure consists of the following steps: (i) adiabatically switching off the lattice potentials so that the atoms quasimomenta are converted into real momenta, (ii) then letting the atoms expand freely during a certain time of flight and (iii) finally taking an absorption image of the expanded cloud [25].

In our setup the situation is a bit more complicated. Let us denote by  $|+, \vec{k}\rangle$  and  $|-, \vec{k}\rangle$  the eigenstates associated with upper and lower energy bands,  $E_+(\vec{k})$  and  $E_-(\vec{k})$ , of the tight-binding Hamiltonian (2). In a ground state with a Fermi energy slightly above zero, we would have many atoms in the lower band sector occupying the whole of the first BZ and only few atoms in the upper band, concentrated around Dirac points (See figure 6a). Despite the difference, by means of absorption images one can not distinguish between  $|+\rangle$  and  $|-\rangle$  states as both have components corresponding to the  $|a\rangle, |b\rangle$  internal states of the atoms.

In order to picture the Dirac cones we need a method that discriminates between energy bands, say, transforming all the  $|+\rangle$  states into  $|a\rangle$ 's and all the  $|-\rangle$  states into  $|b\rangle$ 's, while preserving the momentum,  $\vec{k}$ . The adiabatic theorem provides us the way for doing this. Our starting point is the honeycomb lattice Hamiltonian in momentum space

$$\tilde{H} = J \sum_{\vec{k}} u_{\vec{k}}^\dagger \left[ f(\vec{k}) \sigma^+ + f^*(\vec{k}) \sigma^- \right] u_{\vec{k}}, \quad (32)$$

written with the pseudospin structure  $u_{\vec{k}} = (a_{\vec{k}}, b_{\vec{k}})$ , the couplings  $f(\vec{k}) = 1 + e^{i\vec{k} \cdot \vec{v}_1} + e^{-i\vec{k} \cdot \vec{v}_2}$ , and the Pauli ladder operators  $\sigma^\pm$ . Note how the Hamiltonian is a composition of commuting terms for each value of the momentum,  $\vec{k}$ . We will adiabatically distort all terms, following the route in figure 7, which consists on first adding a mass term,



**Figure 7.** Adiabatic path for a transformation of the  $|+\rangle$ ,  $|-\rangle$  states into  $|a\rangle$ ,  $|b\rangle$  states. The hamiltonian of the system is written as  $H \sim \vec{s}(J, m) \cdot \vec{\sigma}$ , so  $\vec{s}_{initial} = \vec{s}(J, 0)$  lies in the  $x, y$  plane while  $\vec{s}_{final} = \vec{s}(0, m)$  lies along the  $z$  axis. During the first step  $m$  is adiabatically increased, and during the second  $J$  is adiabatically decreased until zero.

**Table 1.** summary table of all the considered perturbations of the hopping parameters with their physical effects.

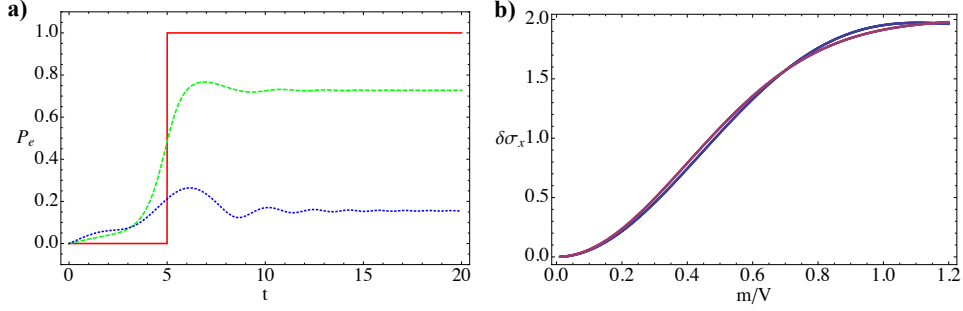
Lattice modification	Hamiltonian modification	Effect
$J_i = J + \epsilon_i$ $i=0,1,2$ small hopping parameter modification	$H \rightarrow \vec{\sigma} \cdot (\vec{q} + \vec{A})$ $\vec{A} = \Delta(\cos(\vec{K} \cdot \vec{v}_i), \sin(\vec{K} \cdot \vec{v}_i))$	Abelian field
$+\sum_m (\frac{\epsilon}{2} a_m^\dagger a_m - \frac{\epsilon}{2} b_m^\dagger b_m)$ energy difference between $A$ and $B$	$H \rightarrow \vec{\sigma} \cdot \vec{q} + \frac{\epsilon}{2} \sigma_z$	Mass term
$\Gamma_\alpha, \Gamma_\beta$ intrasublattice triangular hoppings	$H \rightarrow \vec{\sigma} \cdot \vec{q} - \frac{3}{2}(\Gamma_\alpha + \Gamma_\beta)\mathbb{I} - \frac{3}{2}(\Gamma_\alpha - \Gamma_\beta)\sigma_z$	Scalar field
$\epsilon(\vec{r}) = \chi_x \cos(\vec{r}(\vec{K}_+ - \vec{K}_-))$	$\delta \hat{h}(\vec{r}) = \chi_x (\hat{\Psi}_{a+}^\dagger \hat{\Psi}_{b-} + \hat{\Psi}_{a-}^\dagger \hat{\Psi}_{b+} + \text{H.c.})$	Flavor coupling

$\sim m\sigma^z$ , and then decreasing  $J$  down to zero. The protocol maps the two eigenstates of the initial Hamiltonian,  $|+\rangle$  and  $|-\rangle$ , to  $|a\rangle$  and  $|b\rangle$ , accurately.

This technique allow us to experimentally observe the effects of an Abelian potential described in section 3, as represented in figure 6b, where the Dirac cone displacement manifests as a deformation of the  $|a\rangle$ -momentum distribution. In general all other effects, which are summarized in Table 1, could be observed by similar methods.

## 5.2. Few particle dynamics

The method in the previous subsection gives us access, among other things, to the best known experimental observable in condensed matter physics, which is the density of states. It has been the easiest to measure and therefore has become the default choice in optical lattice simulations of solid state physics. However, the distinctive characteristics of the excitations in a half-filled hexagonal lattice may be worth an extra effort: trying to observe the behaviour of a group of particles with well-defined momentum obeying a complete Dirac equation will reveal some of the distinctive features of the simulated fields.



**Figure 8.** a) Excitation probability for three different cases: No gap ( $m=0$ , solid line), medium gap ( $m=V$ , dashed line) and large gap ( $m=2.5V$ , dotted line) against time. While the gapless state gets immediately promoted once it reaches the Dirac points, the gapped states have reduced positive energy contributions. b) Change in  $\langle \sigma_x \rangle$ :  $\langle \sigma_x(t = \tau) \rangle - \langle \sigma_x(t = -\tau) \rangle$  depending on the value of the effective mass. We compare the change in  $\langle \sigma_x \rangle$  by an exact simulation of the particle dynamics with the Landau-Zener formula (purple).

As an example we suggest using the Klein tunneling effect [26] to probe and measure the energy gaps between the two bands. Let us add a uniform electric field pointing along one direction, say  $x$ , described by a linearly growing potential,  $Vx$ . As explained in Ref. [27], the effect of this potential is to accelerate particles, continuously increasing their momenta in time,  $k_x(t) = k_x(0) - Vt/\hbar$ , until the particle reaches a boundary of the Brillouin zone. At this point two things may happen. If the particle is far away from a Dirac singularity, or the minimum gap between energy bands (the effective mass  $m$ ) is large compared to the acceleration,  $mc^2 \gg V$ , the particle will simply reappear through the opposite side of the Brillouin zone, reversing its velocity and performing the so called Bloch oscillations. However, if the particle hits against the proximities of the  $K_{\pm}$  points and the gap is small,  $mc^2 \ll V/\hbar$ , the particle will experience a Landau-Zener process and jump to the opposite energy band, maintaining its group velocity.

As shown in figure 8, we have simulated numerically this process for different masses of the Dirac field. As a signature of the jump between bands we simply use the expectation value of  $\vec{k} \cdot \vec{\sigma}$ , which is directly correlated to band excitation probability but easier to measure. Note how for the gapless phase,  $m = 0$ , there is a perfect jump, and how the probability is well approximated by the Landau-Zener formula, which allows us to reverse-engineer the experiment and fit the value of  $m$ .

How would this be implemented in an experiment? The idea would be to do the same optical lattice setup with a small number of fermions or bosons cooled down to the lowest value of the momenta,  $\vec{k} = 0$ , in a honeycomb lattice that implements the desired Dirac Hamiltonian, with or without effective mass. One would then activate an electric field along the direction  $\vec{w}$ , for a certain time  $t$ . After this time one would measure the state of the atoms, or more precisely the expected value  $\langle \vec{\sigma} \rangle$ . By changing the duration of the field and its intensity, and monitoring the changes in  $\vec{k} \cdot \vec{\sigma}$ , we will be able to reconstruct not only the Klein effect but also the whole spin texture of the bands.

## 6. Conclusions

We have presented a system composed by two triangular sub-lattices which conform a honeycomb lattice. We have seen how the different terms of the two-dimensional Dirac Hamiltonian arise by perturbing the lattice hopping parameters. We have also made an experimental proposal to implement the model, and discussed how the effects of the different terms in the Dirac Hamiltonian can be observed by detecting either the momenta distribution of the atoms or the relative densities of atoms at each sublattice.

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